



FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 36287-04402	SERIAL NO. 10/666,979
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)		APPLICANT Friedman et al.	
		FILING DATE September 17, 2003	GROUP ART UNIT 3693

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	6,567,790	5/20/03	Slane			
	7,212,993	5-2007	Bodurtha et al.			
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/K.P./	Applications of random walkers to Stock Pricing, <a href="http://www.math.mit.edu/stocker/18354.d/financial.pdf">www.math.mit.edu/stocker/18354.d/financial.pdf</a> ; Spring 2004
/K.P./	Transferable Stock Options: Compensation for the 21st Century, David A. Seaman, Workspan, May 2004, 47(5) pages 64-71
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EXAMINER	DATE CONSIDERED
/Kevin Poe/	12/01/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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